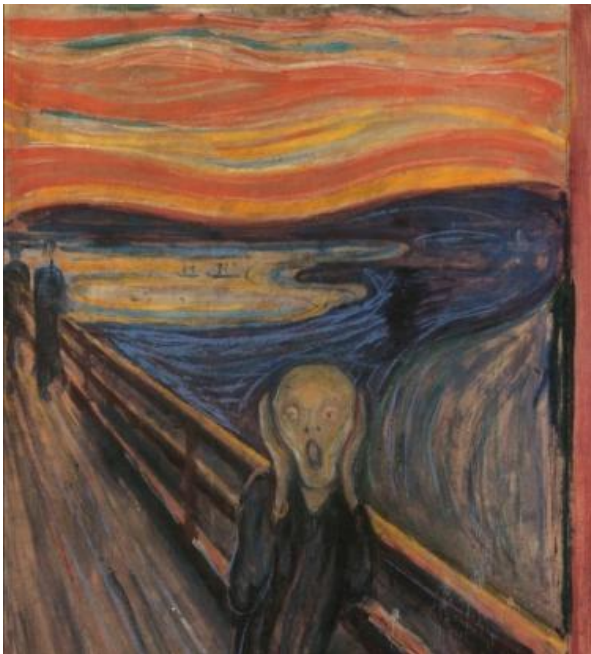


Emotion I: General concepts, fear and anxiety

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The University of
Nottingham

UNITED KINGDOM • CHINA • MALAYSIA

Outline

Emotion I (first part)

- **Studying brain substrates of emotions in animals and humans – general considerations and concepts**
- **Fear and anxiety and relevant brain substrates**

Emotion II (second part)

- **Reward, pleasure, and desire, and relevant brain substrates**
- **Overlap between brain substrates of positive and negative emotions**
- **Recapitulation**

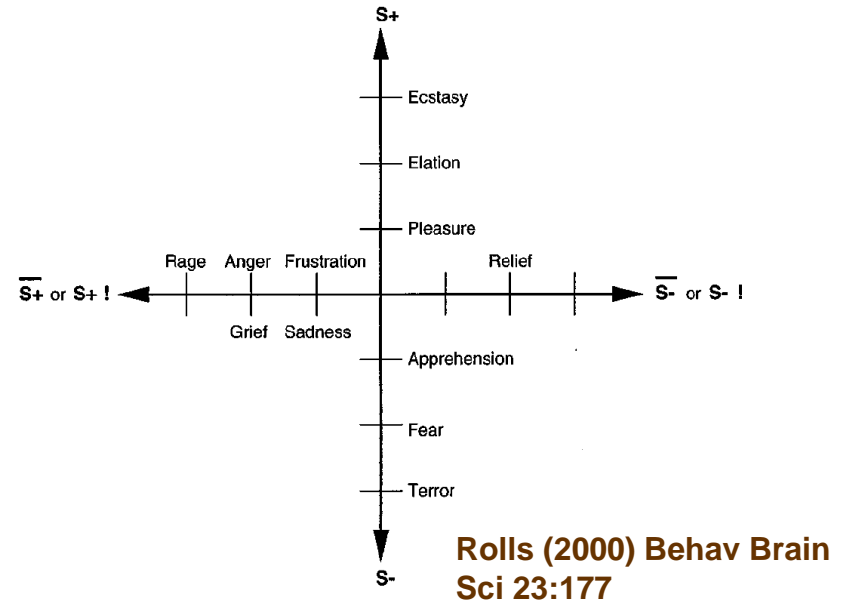
© 1997 by Randy Glasbergen. E-mail: randyg@norwich.net
<http://www.norwich.net/~randyg/toon.html>



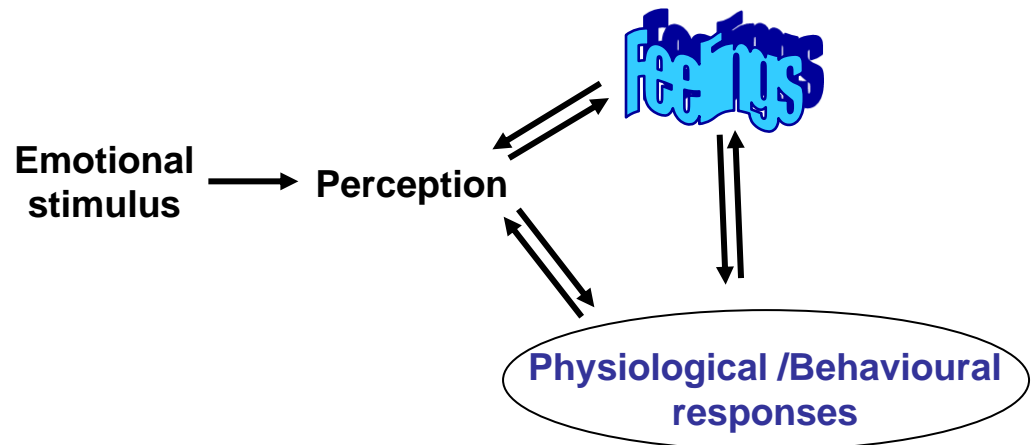
“You always complain that I don’t know how to show my emotions, so I made these signs.”

Emotional states and associated responses in humans and non-human animals

Emotions are states elicited by rewarding or aversive stimuli (S+ or S-) and their omission (-) or termination (!).



These states comprise thoughts (“feelings“) and physiological/behavioural responses to emotional (i.e., rewarding or aversive) stimuli.



Physiological/behavioural responses to emotional stimuli can unambiguously be measured in humans and nonhuman animals.

Evolutionary considerations

Physiological/behavioural responses to aversive and positive stimuli have fundamental survival value and, therefore, have been relatively preserved throughout evolution and are often very similar in different animals incl. humans.



Darwin (1872) *The Expression of the Emotions in Man and Animals*

Positive affective reactions



Human newborns

Orangutans

Chimpanzee

New World Monkeys

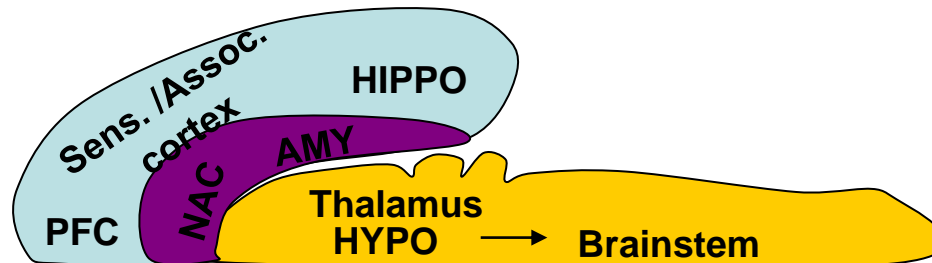
Rat

Negative affective reactions



Berridge (2003) *Brain Cogn* 493:122

The principal organization of the brain is very similar among all mammalian species.



After Swanson (2005)
J Comp Neurol 493:122

Rat as a model system



ADVANTAGES

Easy to breed and keep

Well-established behavioural tests

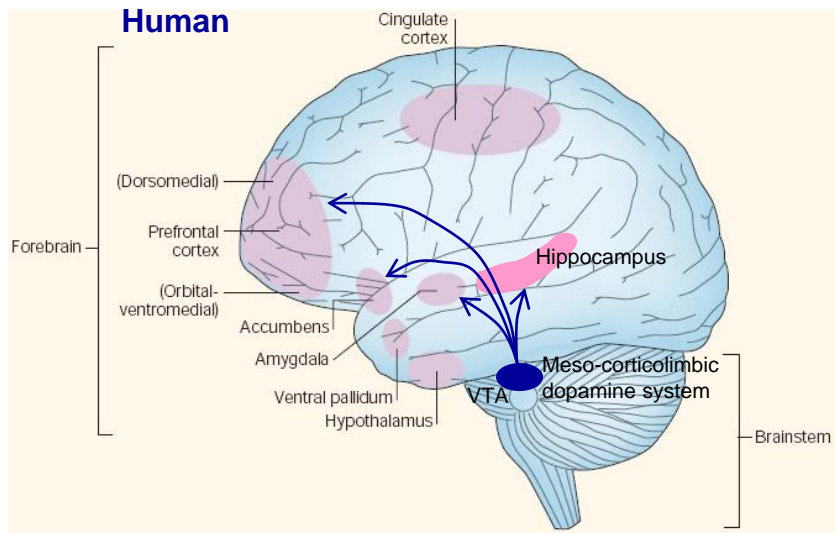
Brain large enough to apply selective manipulations to distinct brain structures and brain anatomy very well characterized



DISADVANTAGE

Genetic manipulations difficult (alternative: mouse)

The emotional brain – an overview and short list of classic milestones



Hippocampus, amygdala, and hypothalamus:

Papez theory of emotion (1937)

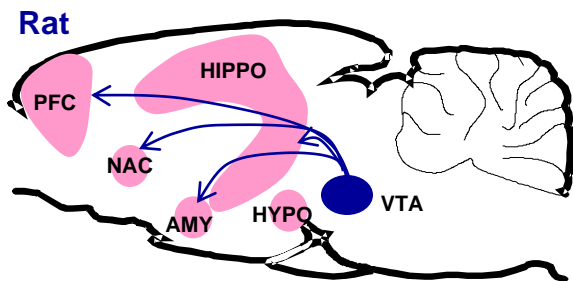
Klüver and Bucy's description of temporal lobe lesion effects in monkeys (1939)

MacLean's limbic system theory (1949)

Prefrontal cortex:

Case of Phineas Gage described by Harlow (1868)

Nauta (1971): Frontal lobes and interoception



Meso-corticolimbic dopamine system :

Olds and Milner (1954): Brain-stimulation induced reward

Wise et al. (1978): Neuroleptic-induced anhedonia

How could ANXIETY and FEAR be characterised without reference to subjective feelings?

a) A state caused by presence of a positive reinforcer.

b) A state caused by presence of aversive stimulus.

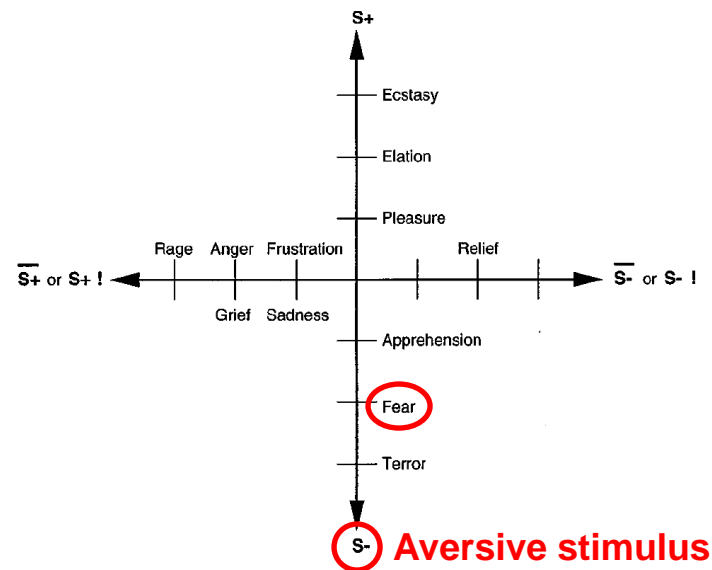
c) A state caused by absence of positive reinforcer.

d) A state caused by omission or termination of aversive stimulus.

Fear and anxiety 1

Much animal research on brain substrates of emotion over the last 30 years has focused on fear and anxiety (Caroline and Robert Blanchard, Jeffrey Gray, Michael Davis, Michael Fanselow, Joseph LeDoux, and colleagues).

Fear and anxiety comprise protective/defensive responses normally elicited by aversive stimuli.



Fear and anxiety 2

Fear rather refers to phasic escape or avoidance responses to distinct aversive stimuli. Anxiety rather refers to a tonic response to diffuse aversive situations and is associated with conflict and uncertainty (compare Davis et al., 2010, *Neuropsychopharmacology* 35: 105–135).

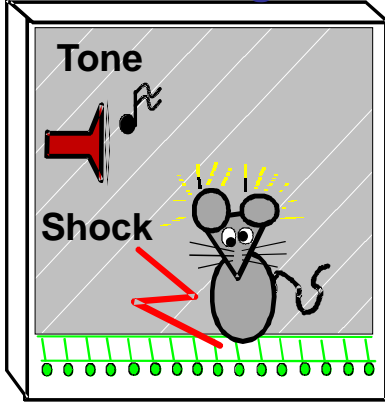
There are many different types of fear and anxiety responses, and the brain substrates of these different responses may differ.

Fear- and anxiety-related disorders in humans include generalized anxiety disorder, obsessive compulsive disorder (OCD), panic disorder, phobias, and post-traumatic stress disorder (PTSD).

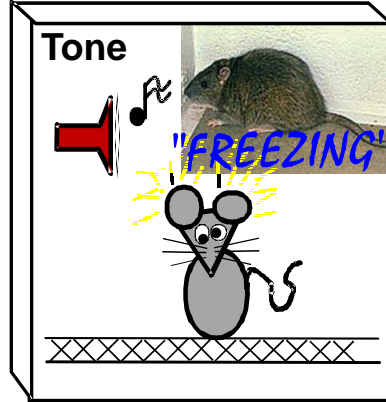
Conditioned fear and the amygdala

Classical fear conditioning

Conditioning



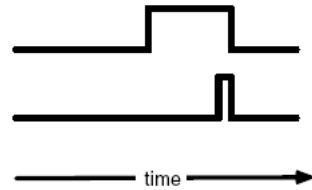
Test



A

CONDITIONED STIMULUS (CS)
(tone or light)

UNCONDITIONED STIMULUS (US)
(footshock)



B

THREATENING STIMULI

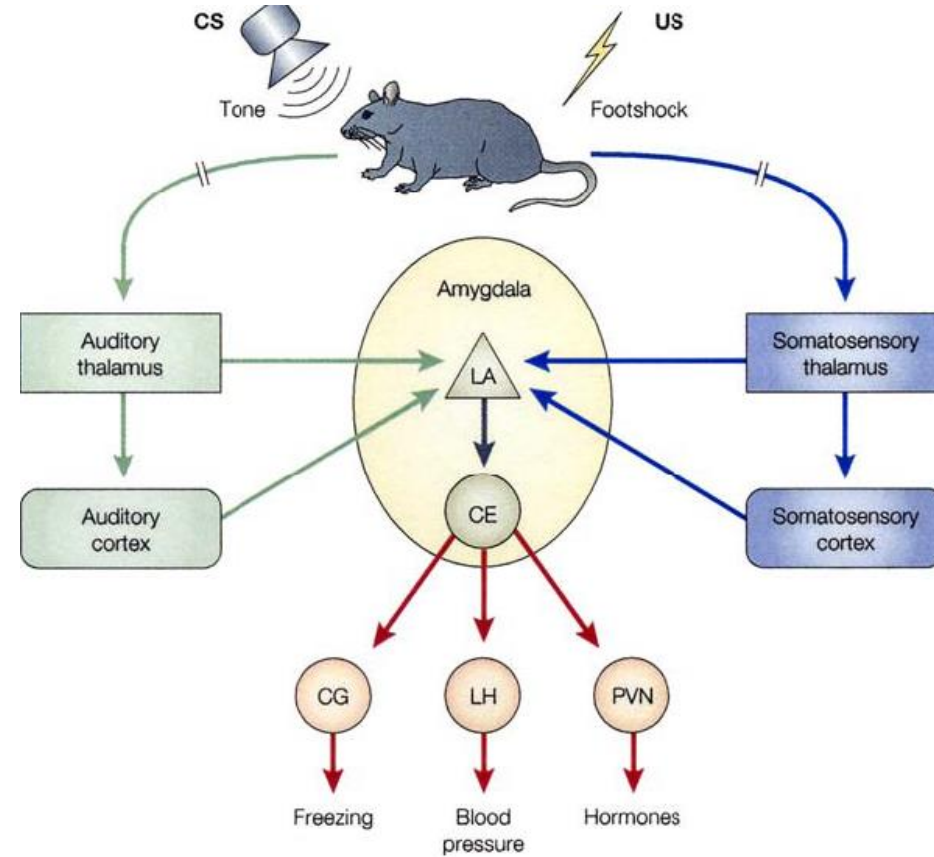
Natural Threat
Cond Stimulus

FEAR RESPONSES

- defensive behavior
- autonomic arousal
- hypoalgesia
- reflex potentiation
- stress hormones

LeDoux (2000) *Ann Rev Neurosci* 23:155

Functional-anatomical model of conditioned fear: central role for the amygdala



Phelps & LeDoux (2005) *Neuron* 48 :175

The slippery slope of fear

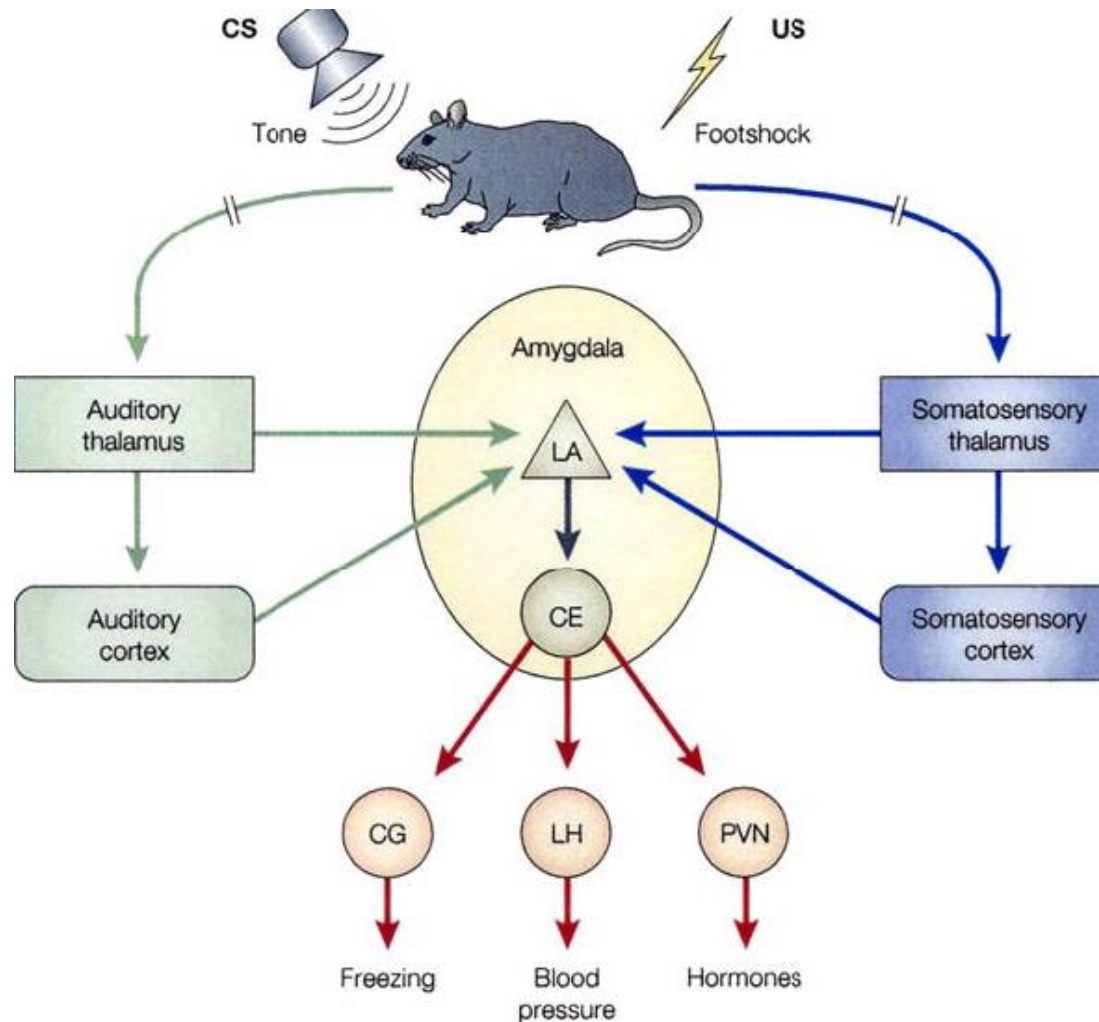
Joseph E. LeDoux

Center for Neural Science, New York University, 6 Washington Place, New York, NY 10003, USA

'Fear' is used scientifically in two ways, which causes confusion: it refers to conscious feelings and to behavioral and physiological responses. Restricting the use of 'fear' to denote feelings and using 'threat-induced defensive reactions' for the responses would help avoid misunderstandings about the brain mechanisms involved.

that, because amygdala det
havior in mice, patients wit
some healthy people, shoul
CO₂. As a result, the author
amygdala-damaged patients
ditions. The study makes ir
tions, but also contributes to

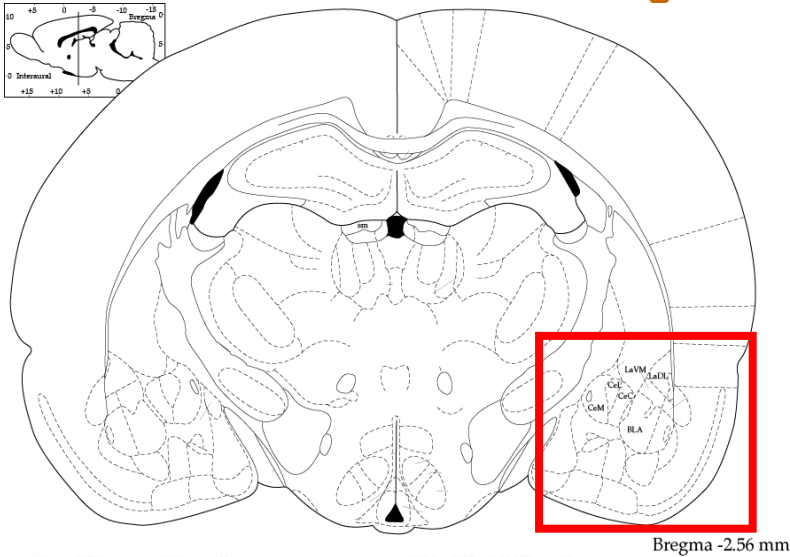
Functional anatomical model of conditioned fear (aka threat-induced defensive reactions): a central role for the amygdala



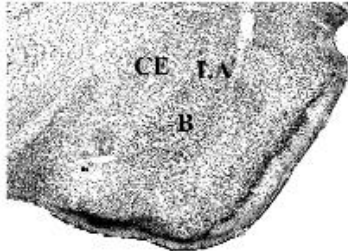
Phelps & LeDoux (2005) *Neuron* 48 :175

Requirement of lateral and central amygdala in conditioned fear

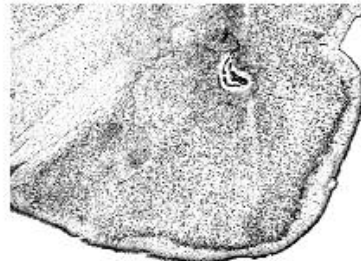
Lesions of different amygdala nuclei before fear conditioning



A. Sham Lesion



B. LA Lesion



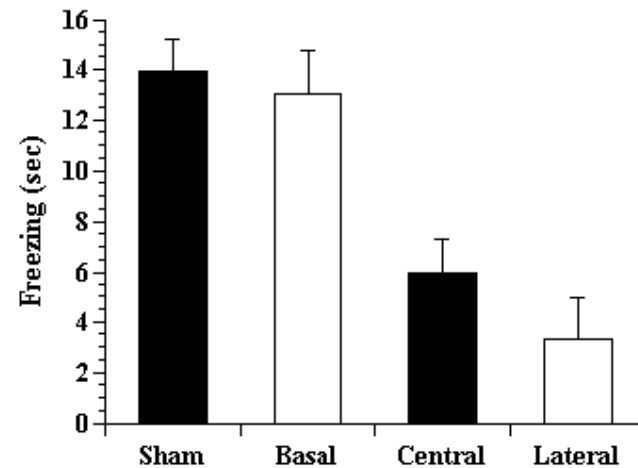
C. CE Lesion



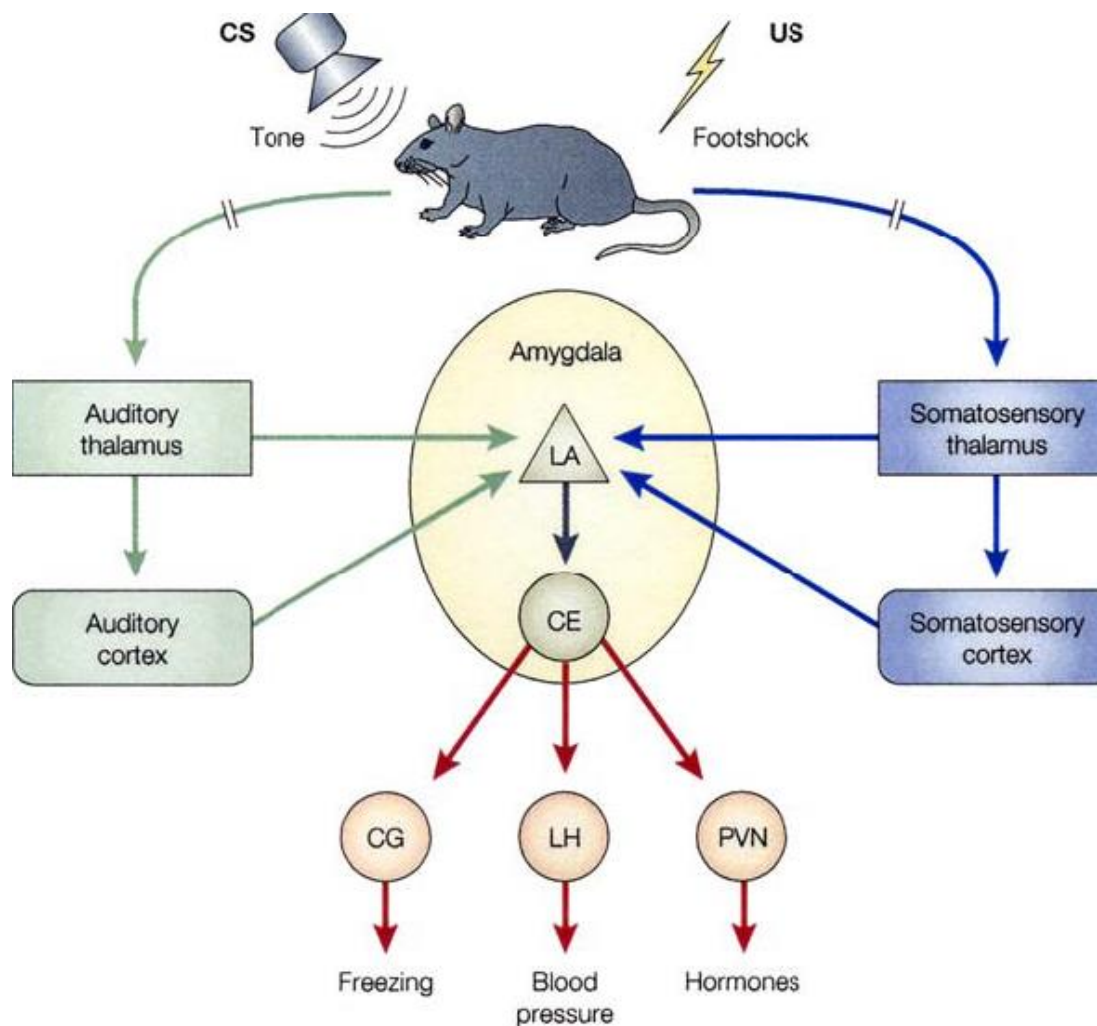
D. B Lesion



Lesion effects on conditioned freezing



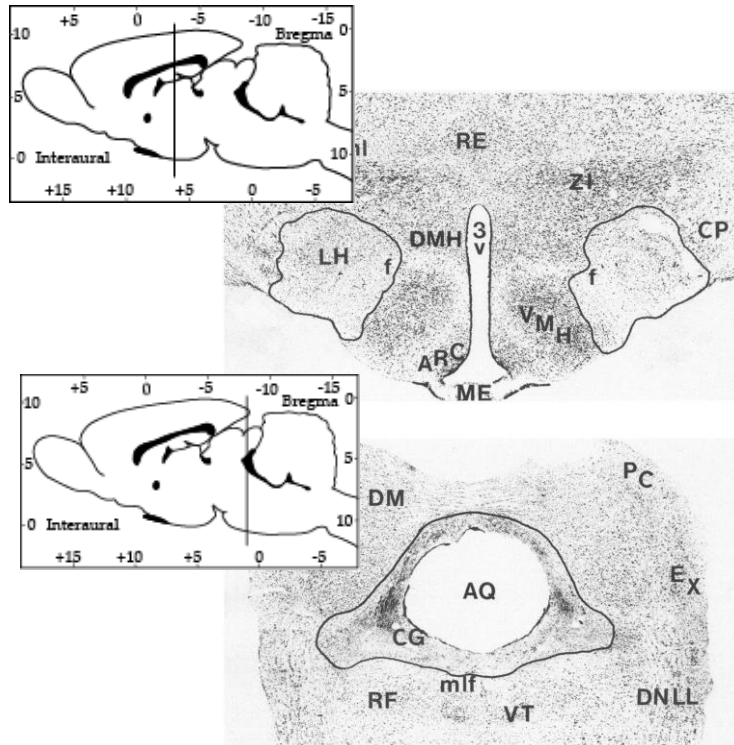
Different CE outputs mediate different conditioned fear responses



Phelps & LeDoux (2005) *Neuron* 48 :175

Different CE outputs mediate different conditioned fear responses

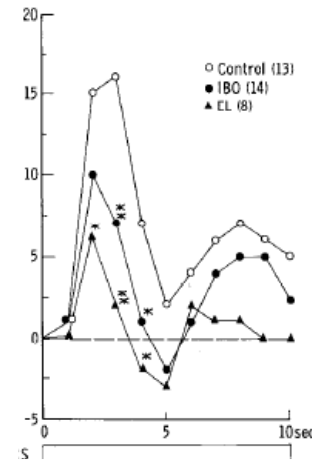
Lesions of lateral hypothalamus and caudal central gray before fear conditioning



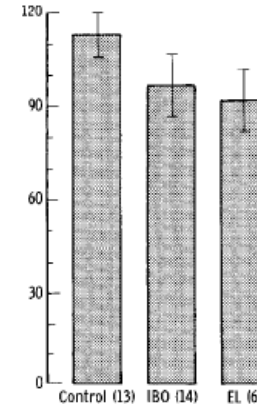
Lesion effects on conditioned fear responses

ATERAL HYPOTHALAMUS

Δ Mean arterial pressure (mmHg)

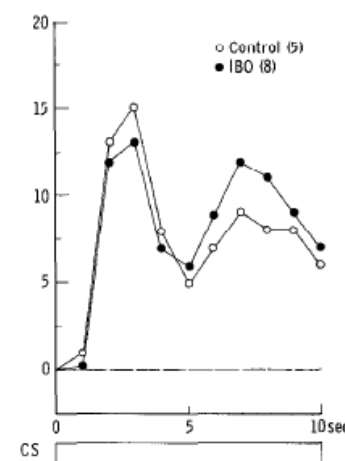


Freezing (sec)

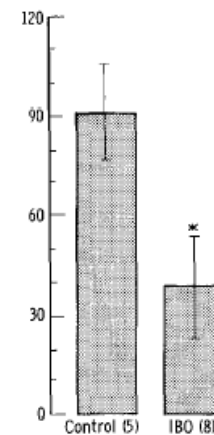


CENTRAL GRAY (CAUDAL)

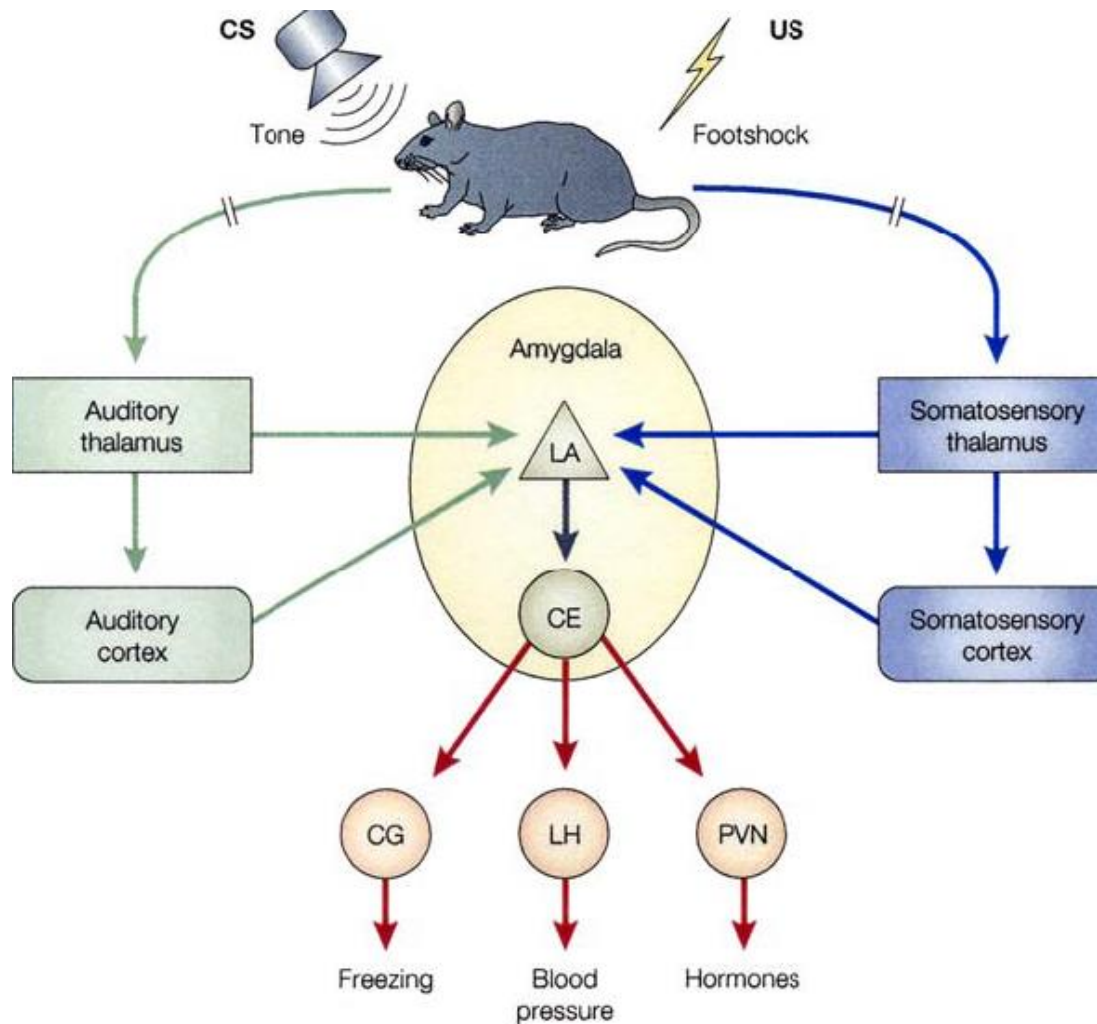
Δ Mean arterial pressure (mmHg)



Freezing (sec)



Fear-conditioning-related plasticity in LA neurons

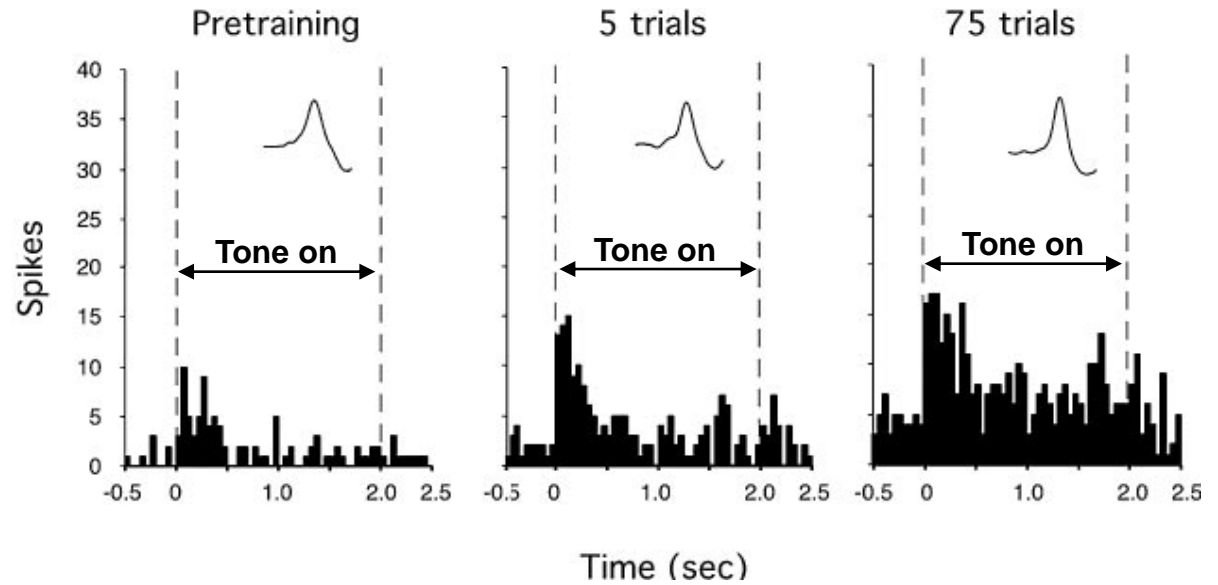
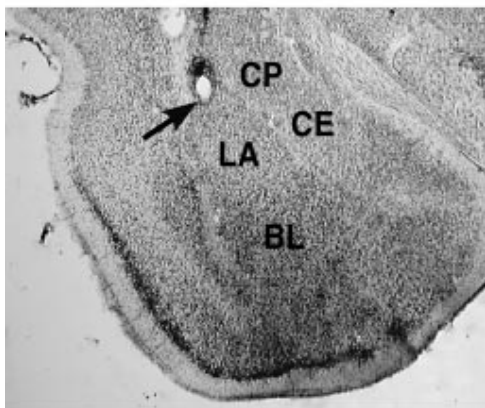


Phelps & LeDoux (2005) *Neuron* 48 :175

Fear-conditioning-related plasticity in LA neurons

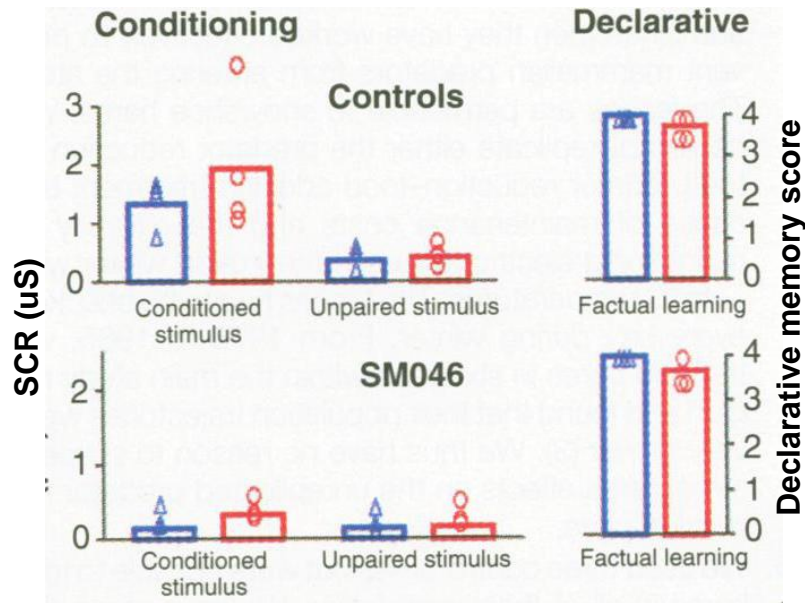
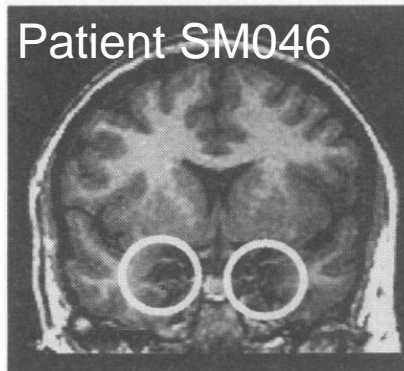
LA neurons come to fire in response to a tone when the tone is paired with a foot shock

Electrode Placement



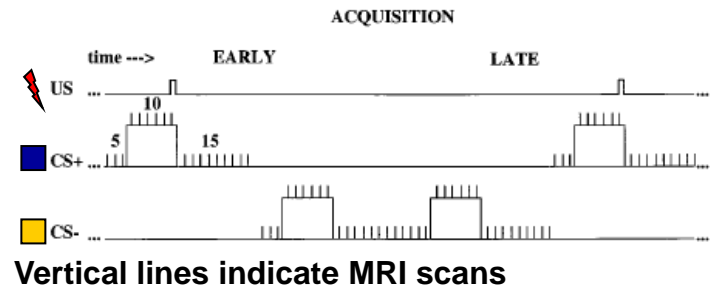
And the human amygdala?

Amygdala damage impairs conditioned fear

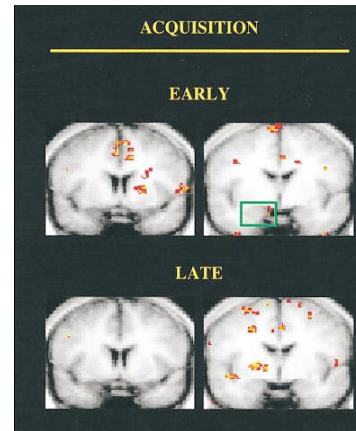


Bechara et al. (1995) *Science* 269:1115

Amygdala fMRI signals in a conditioned fear paradigm

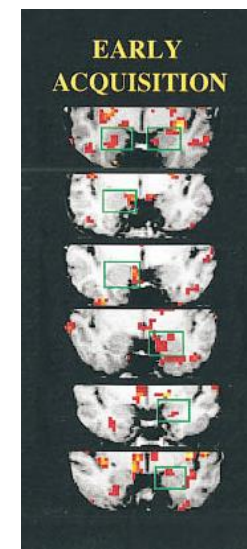


Average

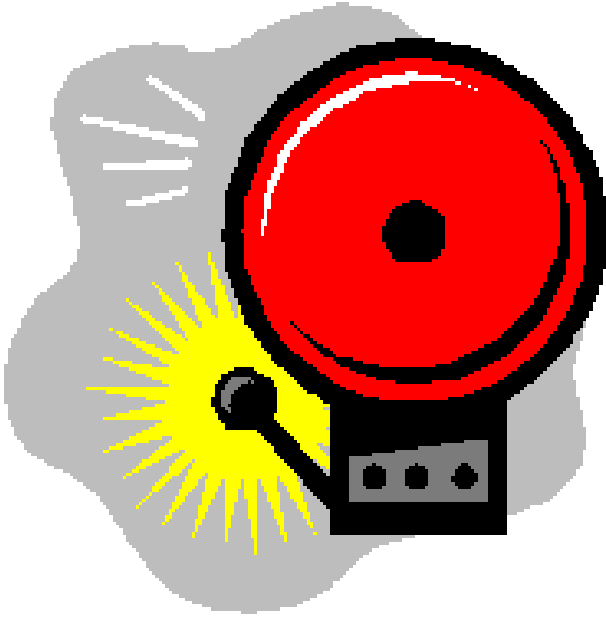


Amyg.

Individuals



LaBar et al. (1998) *Neuron* 20 :937



IMPORTANT NOTES:

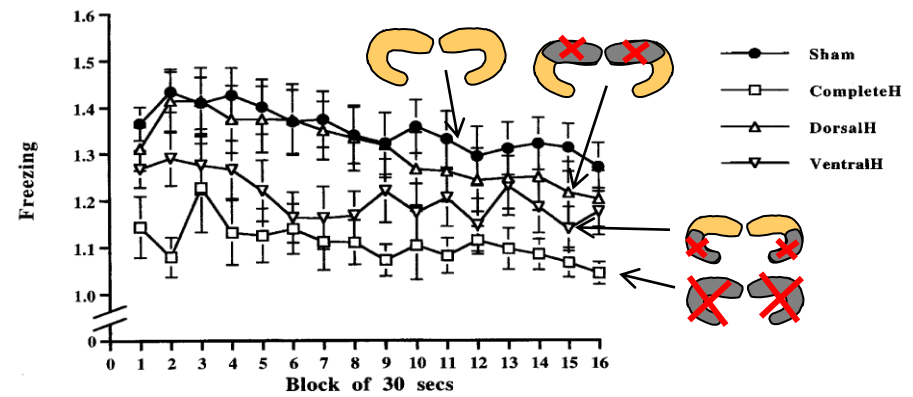
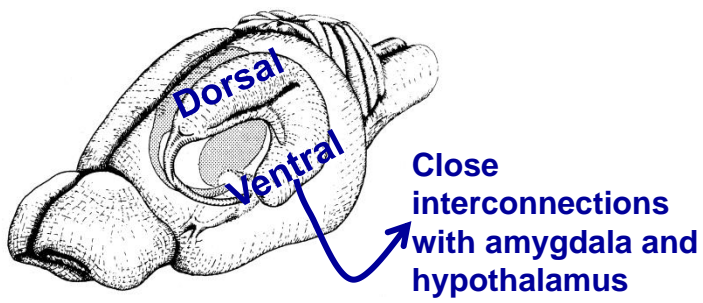
The role of the amygdala in conditioned fear has been very well characterised, nevertheless:

-Other brain structures (e.g., hippocampus, prefrontal cortex) may also make important contributions to fear and anxiety, and the substrates of conditioned fear may differ from those of other fear/anxiety-related behaviours.

-Amygdala is involved in other emotional and behavioural processes as well.

Hippocampus in fear and anxiety

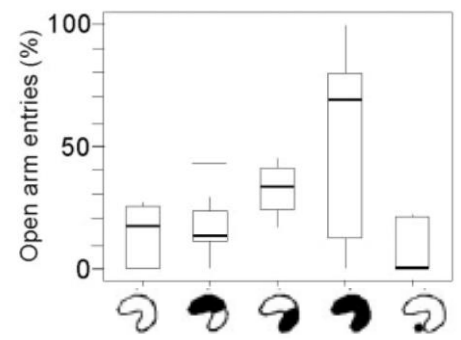
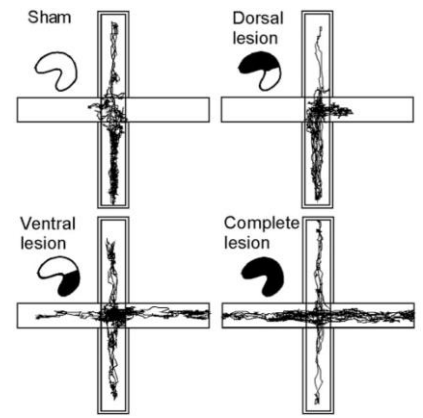
Ventral hippocampus and conditioned freezing



Richmond et al. (1999) *Behav Neurosci* 113:1189

Ventral hippocampus and innate/unconditioned anxiety responses

Elevated plus maze

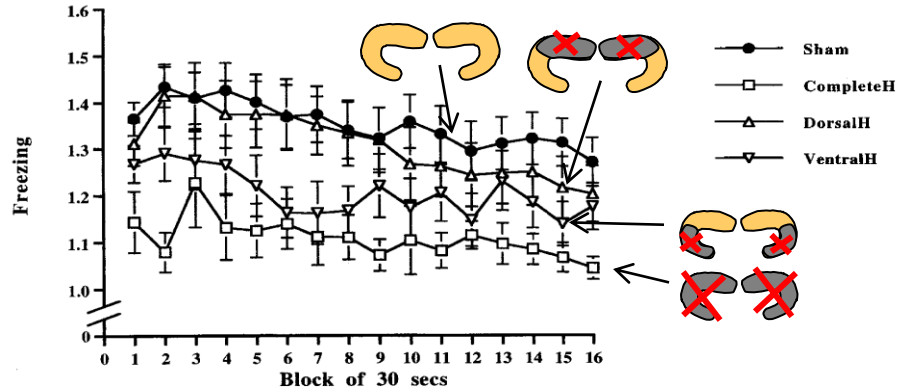
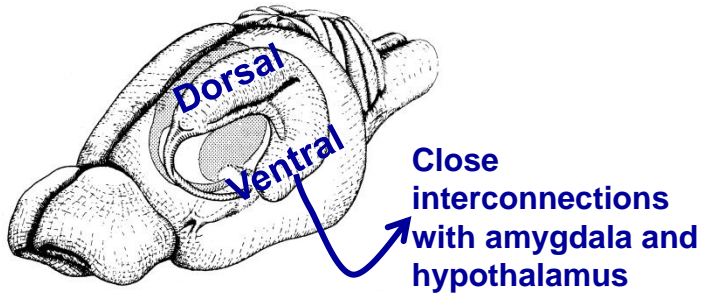


Hippocampal lesions increase the time rats spent in the open arms of the elevated plus maze. What does this finding indicate?

- a) Hippocampal lesions cause anxiety.**
- b) Hippocampal lesions reduce anxiety.**
- c) Hippocampus does not play a role in anxiety.**

Hippocampus in fear and anxiety

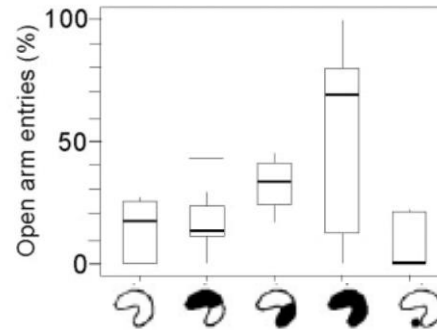
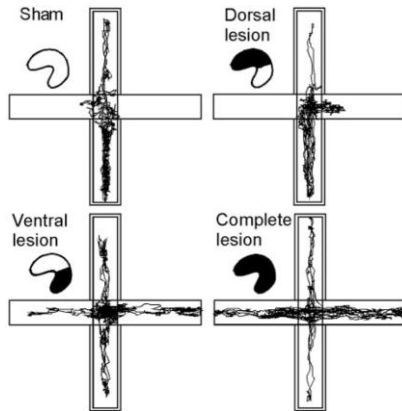
Ventral hippocampus and conditioned freezing



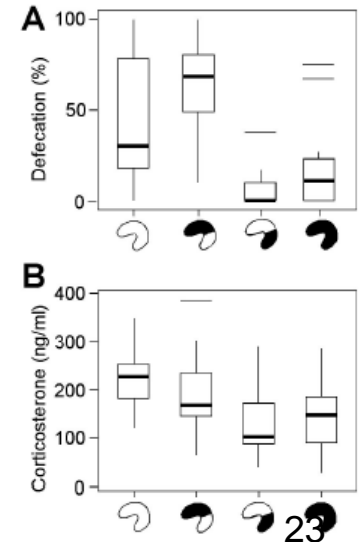
Richmond et al. (1999) *Behav Neurosci* 113:1189

Ventral hippocampus and innate/unconditioned anxiety responses

Elevated plus maze



Brightly lit test chamber



Kjelstrup et al. (2002) *Proc Nat Acad Sci* 99:10825

Hippocampus and anxiety disorders

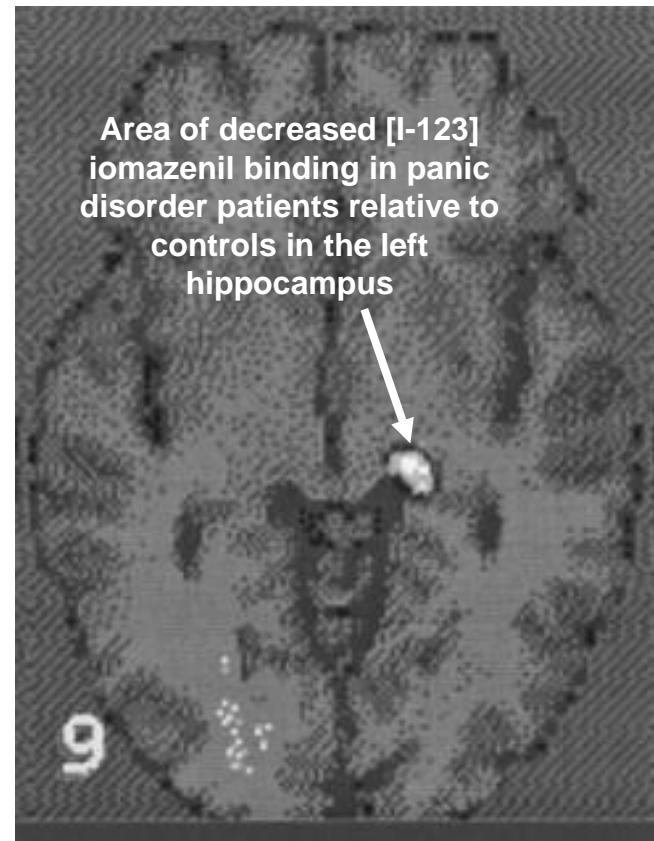
Similarity between effects of hippocampal lesions and anxiolytics

Task	HIP	ANX
Rewarded Bar Pressing, CRF	0	0
Rewarded Running, CRF	0	0
Simultaneous Discrimination	0	0
Shock, Skilled Escape, Active Avoidance	0	0
Resistance to Extinction	+	+
Two Way Active Avoidance	+	+
Non-spatial Active Avoidance	+	+
Rewarded Bar Pressing, Intermittent Reinforcement	+	+
Successive Discrimination	-	-
Spontaneous Alternation	-	-
Rearing	-	-
Mazes (not Radial Arm)	-	-
Passive Avoidance	-	-
Differential Reinforcement of Low Rates	-	-
Spatial Discrimination Reversal	-	-
Water Maze	-	-

☐ = Tested with Buspirone

McNaughton & Gray (2000) *J Affect Dis* 61:161

Decreased hippocampal benzodiazepine receptor binding in panic disorder



Bremner et al. (2000) *Biol Psychiatry* 47:96

Emotion I: General concepts, fear and anxiety – Selected Reading

Textbook chapter:

Carlson NR (any edition) The physiology of behavior. Chapter 11.

Book:

Le Doux J (1996) The emotional brain – the mysterious underpinnings of emotional life.

Review articles:

General

Dalgleish T (2004) The emotional brain. *Nature Rev Neurosci* 5:582-589.

Rolls ET (2000) Précis of The brain and emotion. *Behav Brain Sci* 23:177-234.

Fear and anxiety:

Le Doux J (2000) Emotion circuits in the brain. *Annu Rev Neurosci* 23:155-184.

Phelps EA & Le Doux JE (2005) Contributions of the amygdala to emotion processing: from animal models to human behavior. *Neuron* 48:175-187.

Ross DA, Arbuckle MR, Travis MJ, Dwyer JB, van Schalkwyk GI, Ressler KJ (2017) An Integrated Neuroscience Perspective on Formulation and Treatment Planning for Posttraumatic Stress Disorder: An Educational Review. *JAMA Psychiatry*. Published online March 8, 2017. doi:10.1001/jamapsychiatry.2016.3325

Emotion I: General concepts, fear and anxiety

– Some questions for revision

- Is it necessary to refer to subjective feelings if we want to study brain substrates of emotions?
- What advantages and disadvantages does it have to study neural mechanisms of emotion without reference to subjective feelings?
- How can we study brain substrates relevant to fear/anxiety in rat models?
- How can we confirm that similar brain substrates are also important for human fear/anxiety?